

Deep Regression Representation Learning with Topology

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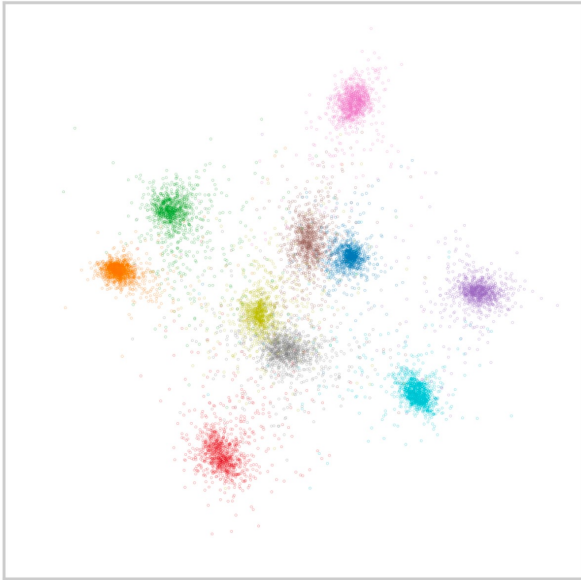
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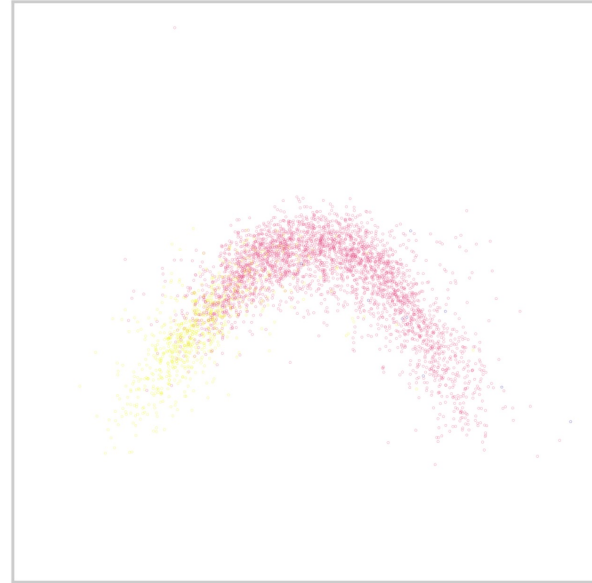
NUS
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Computing

Motivation



Classification



Regression

The representation topologies of classification and regression are different:

- **Classification:** disconnected
- **Regression:** connected

What topology (shape) the representations should have for effective regression? 🤔

Desirable representation

- Intrinsic dimension equals the target space.
- Topologically similar to the target space.

We arrive at this conclusion by establishing **two connections**:

- $H(Z|Y) \Leftrightarrow$ Intrinsic dimension
- $H(Z|Y), H(Y|Z) \Leftrightarrow$ Homeomorphism

Theorem 1: Optimizing the Information Bottleneck \Rightarrow minimizing $H(Z|Y)$ and $H(Y|Z)$

Information Bottleneck



$$H(Z|Y)$$

$$H(Y|Z)$$



Intrinsic dimension equals to the target space

Generalization Error

$$\begin{aligned} & \mathbb{E}_{\{\mathbf{x}, \mathbf{z}, \mathbf{y}\} \sim P} [\|f(\mathbf{z}) - \mathbf{y}\|_2] \\ & \leq \mathbb{E}_{\{\mathbf{x}, \mathbf{z}, \mathbf{y}\} \sim S} (\|f(\mathbf{z}) - \mathbf{y}\|_2) + 2L_1 Q(\mathcal{H}(\mathbf{Z}|\mathbf{Y})) \end{aligned}$$

Generalization error is bounded by $H(Z|Y) \Rightarrow$ minimizing $H(Z|Y)$ to improve the generalization ability

Intrinsic dimension

$$\begin{aligned} \mathcal{H}(\mathbf{Z}|\mathbf{Y}) &= \mathbb{E}_{\mathbf{y}_i \sim \mathcal{Y}} \mathcal{H}(\mathbf{Z}|\mathbf{Y} = \mathbf{y}_i) \\ &\leq \mathbb{E}_{\mathbf{y}_i \sim \mathcal{Y}} \left[-\log(\epsilon) \text{Dim}_{ID} \mathcal{M}_i + \log \frac{K}{C(\epsilon)} \right] \end{aligned}$$

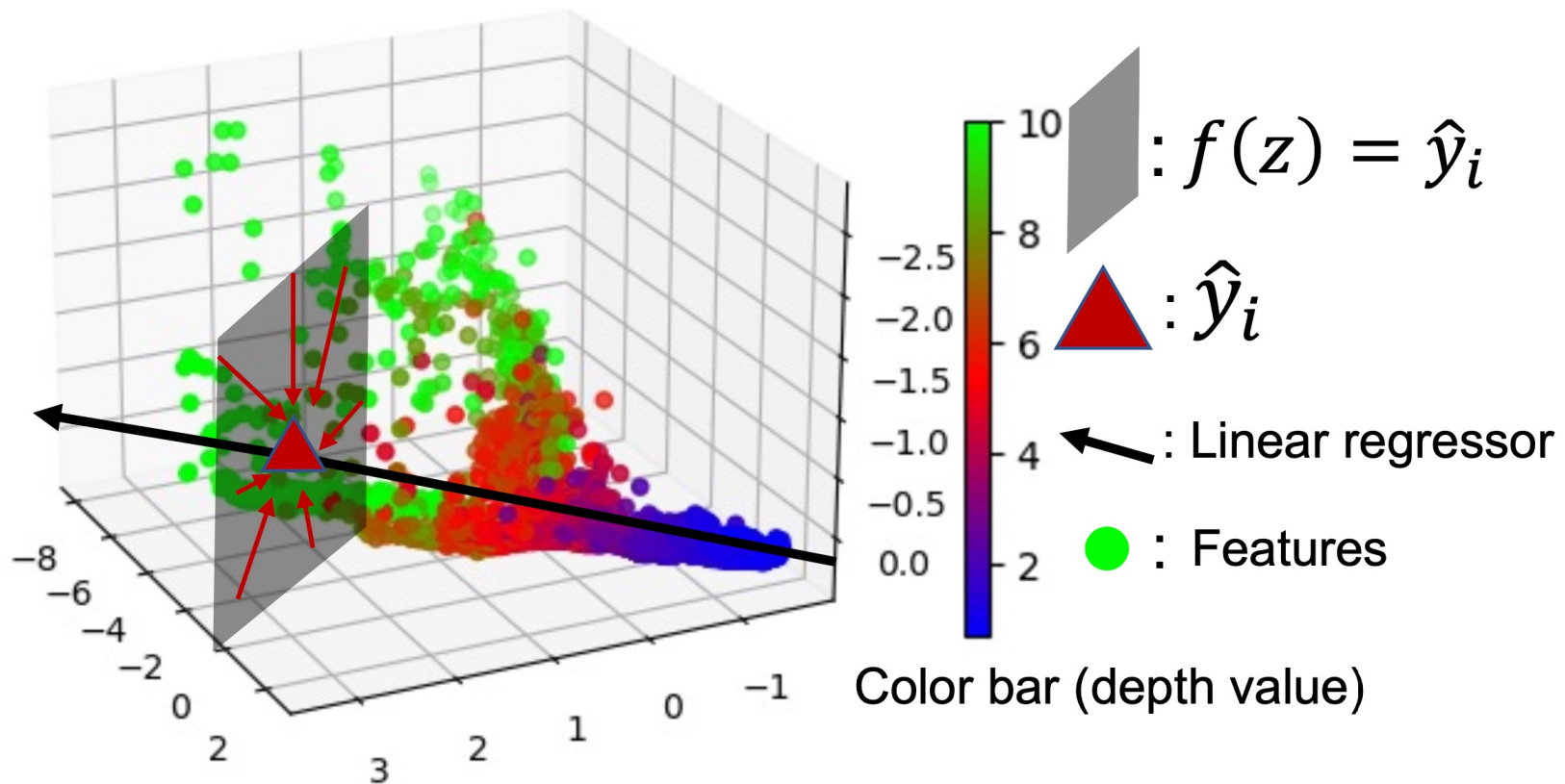
- $H(Z|Y)$ is bounded by the intrinsic dimensions (ID) of $M_i \Rightarrow$ minimizing the ID of M to lower $H(Z|Y)$
- ID of M should larger than ID of the target space to guarantee sufficient representation capabilities \Rightarrow ID equals the target space is desirable

Topologically similar to the target space

Definition (Optimal Representation):
 Z is optimal if $H(Y|Z) = H(X|Z)$ and $H(Z|Y)$ is minimal

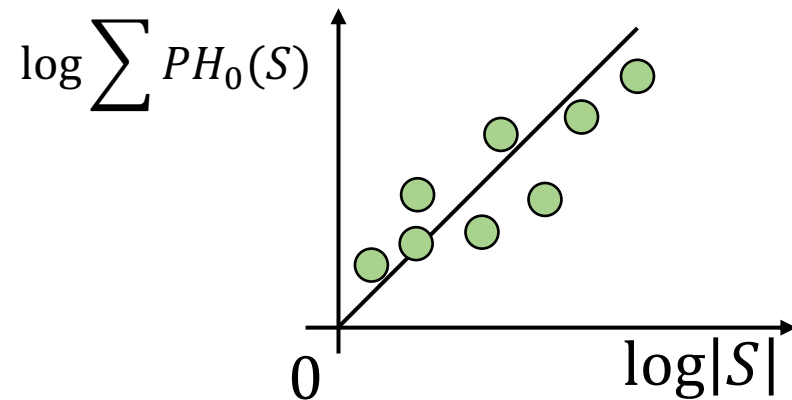
Z is optimal if and only if Z is homeomorphic to Y' , where $Y' = Y - N$, N is the aleatoric uncertainty

Encouraging the Same Intrinsic Dimension



Lowering the intrinsic dimension results in a lower $H(Z|\hat{Y})$ (an approximation for the $H(Z|Y)$), implying a higher generalization ability.

Encouraging the Same Intrinsic Dimension



Intrinsic dimension can be estimated as the slope between $\log \sum PH_0(S)$ and $\log|S|$ ¹

Encourage a lower intrinsic dimension:

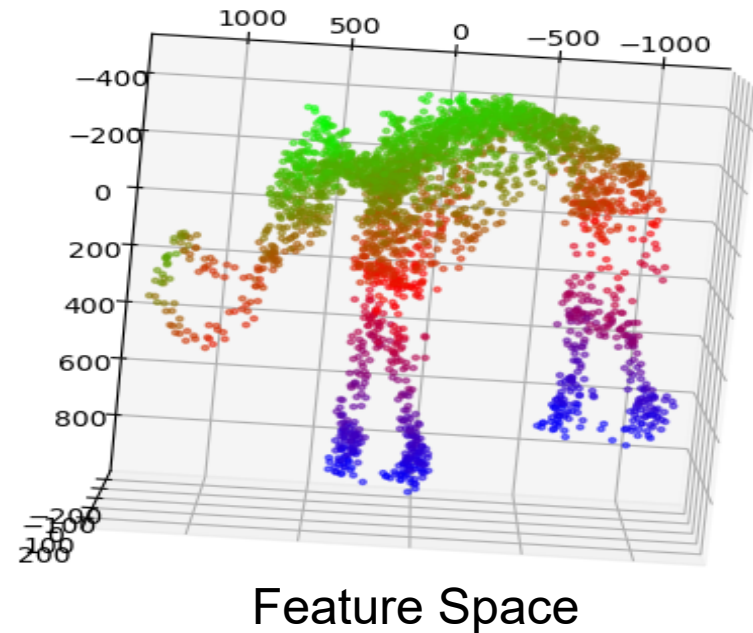
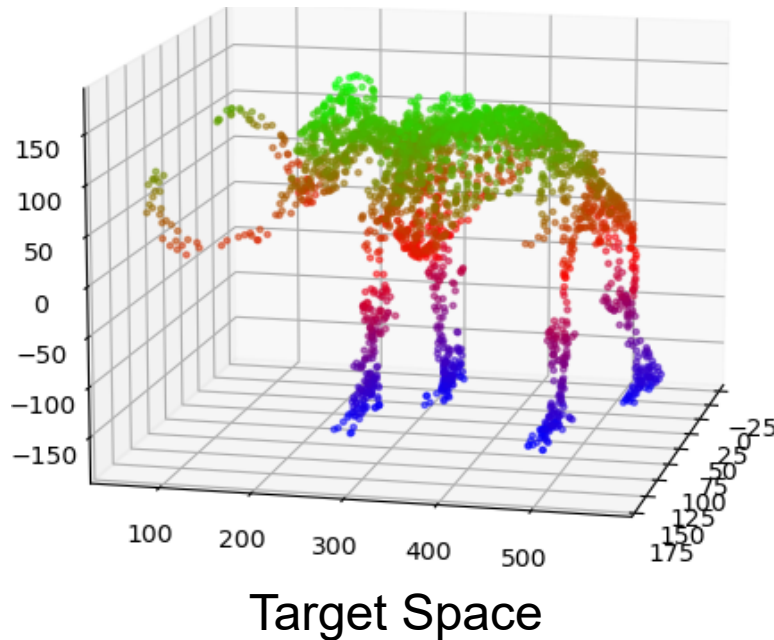
$$L'_d(Z) = \text{slop}(\log \sum PH_0(Z), \log|Z|)$$

Encourage the same intrinsic dimension:

$$L_d = |L'_d(Z)/L'_d(Y)|$$

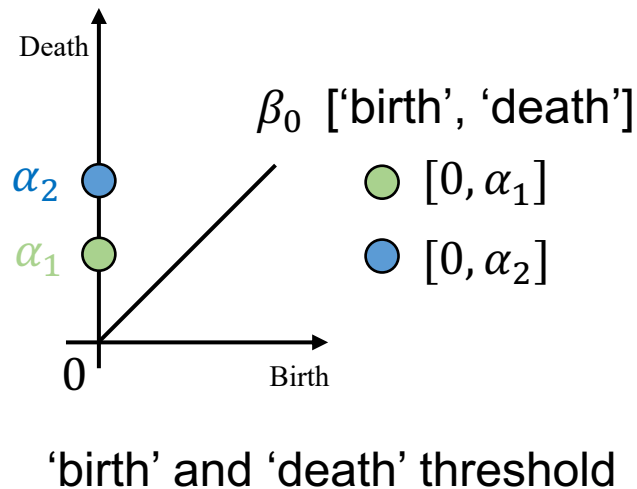
¹*Intrinsic Dimension, Persistent Homology and Generalization in Neural Networks, Birdal et al. NeurIPS. 2021*

Enforcing Topological Similarity



Feature and target spaces are topologically similar, and enforcing such similarity is helpful.

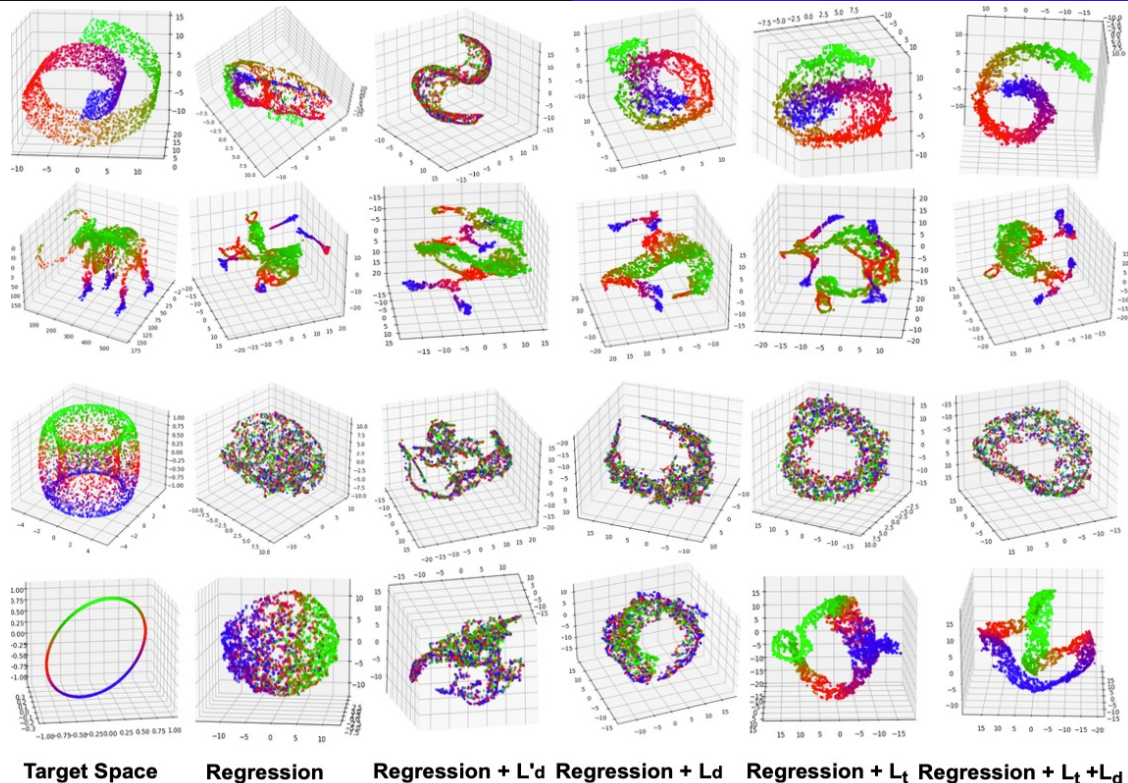
Enforcing Topological Similarity



- The k_{th} persistent homology $PH_k(S)$ is the set of 'birth' and 'death' intervals of the k dimensional holes.
- Calculating $PH_0(S)$ turn out to be find the minimal spanning tree.

Enforcing topological similarity:

$$L_t = ||Z(\text{edge}_z) - Y(\text{edge}_z)||_2^2 + ||Z(\text{edge}_y) - Y(\text{edge}_y)||_2^2$$



Method	Swiss Roll	Mammoth	Torus	Circle
Baseline	2.99 ± 0.43	211 ± 55	3.01 ± 0.11	0.154 ± 0.006
+ InfDrop	4.15 ± 0.37	367 ± 50	2.05 ± 0.04	0.093 ± 0.003
+ OE	2.95 ± 0.69	187 ± 88	2.83 ± 0.07	0.114 ± 0.007
+ \mathcal{L}'_d	2.74 ± 0.85	141 ± 104	1.13 ± 0.06	0.171 ± 0.04
+ \mathcal{L}_d	0.66 ± 0.08	89 ± 66	0.62 ± 0.12	0.090 ± 0.019
+ \mathcal{L}_t	1.83 ± 0.70	80 ± 61	0.95 ± 0.05	0.036 ± 0.004
+ $\mathcal{L}_d + \mathcal{L}_t$	0.61 ± 0.17	49 ± 27	0.61 ± 0.05	0.013 ± 0.008

Table 2. Quantitative comparison (MAE) on AgeDB. We report results as mean \pm standard variance over 3 runs. **Bold** numbers indicate the best performance.

Method	ALL	Many	Med.	Few
Baseline	7.80 \pm 0.12	6.80 \pm 0.06	9.11 \pm 0.31	13.63 \pm 0.43
+ InfDrop	8.04 \pm 0.14	7.14 \pm 0.20	9.10 \pm 0.71	13.61 \pm 0.32
+ OE	7.65 \pm 0.13	6.72 \pm 0.09	8.77 \pm 0.49	13.28 \pm 0.73
+ \mathcal{L}'_d	7.75 \pm 0.05	6.80 \pm 0.11	8.87 \pm 0.05	13.61 \pm 0.50
+ \mathcal{L}_d	7.64 \pm 0.07	6.82 \pm 0.07	8.62 \pm 0.20	12.79 \pm 0.65
+ \mathcal{L}_t	7.50 \pm 0.04	6.59 \pm 0.03	8.75 \pm 0.03	12.67 \pm 0.24
+ $\mathcal{L}_d + \mathcal{L}_t$	7.32 \pm 0.09	6.50 \pm 0.15	8.38 \pm 0.11	12.18 \pm 0.38

Conclusion

- A desirable representation
 - topologically similar to the target space
 - intrinsic dimension equal to the target space
- Optimizing the Information Bottleneck \implies minimizing $H(Z|Y)$ and $H(Y|Z)$
 - $H(Y|Z)$: encourages the representation Z to be informative about the target Y
 - $H(Z|Y)$: can be thought of as noise, and upper-bound the generalization error